

# Targeted genetic and viral therapy for advanced head and neck cancers

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Head and neck cancers usually present with advanced disease and novel therapies are urgently needed. Genetic therapy aims at restoring malfunctioned tumor suppressor gene(s) or introducing proapoptotic genes. Oncolytic virotherapeutics induce multiple cycles of cancer-specific virus replication, followed by oncolysis, virus spreading and infection of adjacent cancer cells. Oncolytic viruses can also be armed to express therapeutic transgene(s). Recent advances in preclinical and clinical studies are revealing the potential of both therapeutic classes for advanced head and neck cancers, including the approval of two products (Gendicine and H101) by a governmental agency. This review summarizes the available clinical data to date and discusses the challenges and future directions.

# Introduction

Despite the recent development of molecular-targeted therapeutics and other updated treatment regimens, head and neck squamous cell carcinoma (HNSCC) remains difficult to manage. At the time of diagnosis, only 15-30% of the patients present with earlystage disease, of which surgery or radiotherapy is the treatment of choice. The majority of head and neck tumor patients present with locally advanced disease (Stages III and IV) [1], and for these patients, surgery, followed by adjuvant concurrent chemoradiotherapy (CCRT) for resectable tumors and CCRT for unresectable tumors, remains the current standard regimen [1,2]. Most patients with advanced HNSCC die from locoregional progression, with local recurrence rate up to 40% even after CCRT [1,3]. The median overall survival ranges from six to eight months [1]. Reirradiation, with or without chemotherapy for recurrent or second primary head and neck cancers, provides local control but usually results in significant treatment-related morbidity. Furthermore, tumors often develop resistance to chemotherapy and radiotherapy. Epidermal growth factor receptor (EGFR)-targeted monoclo-

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nal antibody cetuximab has been recently approved for locally advanced HNSCC (concurrently with radiotherapy) [4,5]. It is still unclear, however, how cetuximab radiation compares with the standard of care (CCRT) in these patients and combination of cetuximab and chemotherapy showed increased grade 3/4 toxicities in some studies [6]. As the use of cetuximab becomes more common, it becomes clear that the incidence of cetuximab-related anaphylaxis and other severe toxicities is higher than had been previously reported [7,8]. Other anti-EGFR agents, including gefitinib and erlotinib, express only modest efficacy in HNSCC. Therefore, for locally advanced head and neck cancer, novel therapy with distinct antitumoral mechanisms, high tolerability, complementarity with existing therapies and the feasibility of repeat dosing is urgently needed.

With advanced knowledge and technology in cancer biology, genetics, microbiology and immunology, genetic therapy (a.k.a. 'gene therapy') and virotherapy have entered clinical trials and these studies provided insight on safety, efficacy, and biological activities of these agents. The first human clinical cancer gene therapy trial was approved in 1989 for melanoma and renal cell carcinoma [9]. Hundreds of trials were subsequently carried out worldwide. For head and neck cancers, clinical genetic therapy

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TABLE 1

Agent	Class	Possible mechanism(s)	[99,100]	
Ad-p53	p53 gene therapy	Functional p53 sensitizes tumor cells to radiation or chemotherapy		
E1B-55 kDa-deleted Adenovirus (Onyx-015, H101)	Oncolytic adenovirus	Viral protein sensitizes tumor cells to radiation or chemotherapy; microtubule modulation by chemotherapy enhances intracellular virus trafficking	[101–103]	
γ34.5-/ribonucleotide reductase-deleted HSV (G207)	Oncolytic HSV	Upregulation of cellular gene(s) and enhances viral replication	[38,104,105]	

studies have focused on restoration of function of the *p53* tumor suppressor genes in tumor tissue [10]. Other approaches, such as prodrug activation, proapoptotic gene delivery, and antisense technology, have limited clinical data and will not be discussed here. On the contrary, oncolytic virotherapy has various antitumoral mechanisms and has been developed as a novel approach. Synergistic interaction between standard chemotherapy or radiation therapy and these treatment platforms have been demonstrated (Table 1). This review article summarizes the clinical results to date in targeting these tumors with genetic therapy and oncolytic viruses, including combination with radiation therapy and systemic chemotherapy. Unique challenges in this field and insights into future directions are also discussed.

# Genetic therapy: p53 gene restoration

About 40–60% of patients with head and neck cancer have mutated p53 [11]. Inactivated, mutated p53 tumor cells are associated with tumor invasiveness, disease recurrence and resistance to chemotherapy and radiotherapy [11]. Moreover, the mutational status of p53 is associated with poor survival. The median overall survival in patients with mutant and wild-type p53 were 3.2 and 5.4 years, respectively (p < 0.01) [12]. p53 plays a dominant role in sensitizing tumor cells to chemotherapy and radiation therapy, and replacement of mutated p53 reduces tumor growth and also increases radio-sensitivity/chemo-sensitivity [13]. It is, therefore, logical to attempt to restore/rescue functional p53 by gene delivery.

Early Phase I trials focused on the safety and feasibility of utilizing nonreplicating adenoviral vectors for p53 gene delivery to head and neck tumors (Table 2) [14-16]. The E1 region of serotype 5 adenovirus is replaced with the cDNA of the p53 gene and is driven by a cytomegalovirus promoter. Patients were given intratumoral (IT) administration of adenovirus encoding human p53 gene (Ad-p53) up to 10<sup>11</sup> plaque-forming units (pfu; approximately equivalent to 10<sup>13</sup> viral particles (vp)). No dose-limiting toxicity (DLT) was encountered and the most common adverse events (AEs) were fever and injection site pain. Clinical efficacy was also demonstrated. In one trial, 33 patients with locally advanced recurrent head and neck cancers were treated with IT Ad-p53 as a single agent [14,15]. Of these patients, 17 had unresectable tumors, and among them, two achieved partial response (PR), and six showed stable disease for up to 3.5 months. Of the remaining 15 patients with resectable disease, four remained disease-free with a median follow up of 18 months [15]. In a separate trial, Ad-p53 was administered to 12 patients with advanced laryngeal cancer [16]. There was no relapse in 11 of the 12 patients for more than five years after Ad-p53 treatment.

Two Phase II studies were consequently conducted to explore the efficacy of Ad-p53 gene therapy in conjunction with radiation therapy [17–19]. Enhanced efficacy was demonstrated. The dosing of Ad-p53 and radiation were similar in both studies (Ad-p53:  $1 \times 10^{12}$  vp IT weekly  $\times$  8; radiation: 60–70 Gy total in seven to eight weeks). The most common AE in both studies was fever. An extended follow-up on a subset of nasopharyngeal carcinoma (NPC) patients has been recently published [19]. Eighty-two patients were randomized to receive Ad-p53 and radiotherapy (GTRT; n = 42) or radiotherapy alone (RT; n = 40). Transient low grade fever after Ad-p53 administration was the most frequent AE observed (81%) but resolved spontaneously. The overall response rate (ORR) was 97.6% in the GTRT group (66.7% CR and 30.9% PR) and 85.4% in the RT alone group (24.4% CR and 61% PR, p < 0.01). The median time to recurrence was also significantly longer in the GTRT group than in the RT alone group (73 months vs 59 months, p = 0.002). Combination therapy did not, however, significantly prolong survival and disease-free survival (59 and 58 months in GTRT vs 54 and 49 months in RT). In a separate report on a Phase III trial, a total of 69 patients with Stages III and IV head and neck cancers were randomized to receive Ad-p53 and radiotherapy (GTRT, n = 36) or radiotherapy alone (RT, n = 33). The ORR in the GTRT group was 96% (64% CR and 32% PR) compared with 80% in RT alone group (19% with CR and 61% with PR; p < 0.01) [20,21]. Ad-p53 (Gendicine) has since been approved by the Chinese government to be used in conjunction with radiation therapy in head and neck cancers and other solid tumors (advanced hepatocellular carcinoma, advanced lung cancer, soft tissue sarcoma, and so on) [21].

INGN 201 is another p53 expressing, nonreplicating adenovirus, developed by Introgen (Houston, TX). INGN 201 has been tested in a number of HNSCC clinical trials, including a Phase III study in 217 recurrent HNSCC patients, followed by a Phase III comparative study (INGN 201 vs methotrexate) in 240 patients with refractory HNSCC, and another Phase III study exploring standard chemotherapy in combination with INGN 201 in 288 recurrent HNSCC patients [22,23]. The results of these trials are eagerly awaited. Importantly, a recent study has also indicated that Ad-p53 can be safely and repetitively administered intravenously (IV) up to  $1 \times 10^{12}$  vp daily for three consecutive days [24]. Of note, p53 DNA is detectable in tumor tissue [24]. Further studies will determine the optimal administration route and combination regimen for head and neck cancer.

# Oncolytic virotherapy: a novel therapeutic approach

Targeted oncolytic viruses replicate in and kill cancer cells (oncolysis) selectively; the new viruses produced within the dying

	ad and neck cancers.
TABLE 2	Ad-p53 gene therapy clinical trials in hea

Route	Cancer	Patient number	Doses	Schadiila	AF (G3/G4 episodes: OBB	ago	G	Gane	Roforonco
e de la composition della comp	type/phase		666		DLT; most freq. AE)		2	expression	
Single agent									
IT (pre-, intra-, post-op)	HNSCC I	33	$1\times10^6-1\times10^{11}\text{pfu}$	0 <sup>11</sup> pfu 3/week × 2 till PD (unresectable); 3/week +	No DLT; fever, injection pain,	2/17 (unresectable)	9/17 (unresectable)	<i>p53,</i> <i>p21</i> IHC+ (bx)	[14,15]
IT (pre-/post op) Laryngeal		12	$1 \times 10^{10} - 1 \times 10^{12} \text{ vp}$	intraop + postop (resectable) $10^{12}$ vp $2 \times (\text{qod} \times 5)$	neadacne No DLT; fever	N/A	N/A	p53 IHC+ (bx)	[16]
Combination therapy	erapy								
IT* (+R/T)	NPC II	82 (GTRT $n = 42$ ; RT $1 \times 10^{12}$ vp alone $n = 40$ )	$1 \times 10^{12} \text{ vp}$	$qw \times 8 + R/T$ 70 Gy/35f/7–8w Fever	Fever	41/42* (GTRT); 35/41* (RT alone)	0* (GTRT); 0* (RT alone)	p53, p21 Bax, VEGF, IHC +(Bx)	[19]
IT* (+R/T)	HNSCC II	42 (GTRT $n = 20$ ; RT $1 \times 10^{12}$ vp alone $n = 22$ )	$1 \times 10^{12} \text{ vp}$	$qw \times 8 + R/T$ 70 Gy/35f/7–8w Fever	Fever	16/17* (GTRT); 0* (GTRT); 22/27* (R/T alone) 0* (RT alone)	0* (GTRT); 0* (RT alone)	N/A	[17]
IT* (+R/T)	NPC II	29 (GTRT $n = 16$ ; RT $1 \times 10^{12}$ vp alone $n = 13$ )	$1 \times 10^{12} \text{ vp}$	$qw \times 8 + R/T 60-70 Gy/7-8w$ Fever	Fever	100% * (GTRT) 100%* (RT alone)	0* (GTRT); 0* (RT alone)	N/A	[18]
IT* (+R/T)	HNSCC III	69 (GTRT $n = 36$ ; RT $1 \times 10^{12}$ vp alone $n = 33$ )	$1 \times 10^{12} \text{ vp}$	$qw \times 8 + R/T 70 Gy/7-8w$	Fever	27/28* (GTRT); 29/36* (RT alone)	0* (GTRT); 1/36* (RT alone)	<i>p53</i> IHC + (Bx)	[20]

rate; PD: progressive disease; op: operation pfu; plaque-forming unit; qod: every other day; qw: per week; R/T: radiation; vp: viral particle; \*\*. the efficacy of these trials were reported per injected tumor instead of per patient. The subset of patients 4bbreviations—AE: adverse event; Bx: biopsy; DLT: dose-limiting toxicity; HNSCC: head and neck squamous cell carcinoma; HC: immunohistochemistry; IT: intratumoral; N/s: not applicable; NPC: nasopharyneal carcinoma; ORR: overall response and reported in [19]. with NPC were followed up

cancer cells are then released and subsequently infect adjacent and/or distant cancer cells. This cycle can be repeated numerous times, with spreading waves of virus engulfing the tumor mass. Thus, cancer cell killing can occur through apoptosis-independent mechanisms. By contrast, normal tissues are highly resistant to cancer-targeted viruses. Complementary oncolvsis-induced mechanisms include induction of tumor-specific immunity and anti-vascular effects [25]. In addition, oncolytic viruses can be 'armed' to express therapeutic proteins with diverse mechanisms. The development of targeted virotherapeutics has evolved from the use of in vitro passaged strains (first-generation), to genetically engineered selectivity-enhanced viruses (second-generation) and finally to genetically engineered transgene expressing, 'armed' oncolytic viruses (third-generation) [26]. Clinical development of oncolytic virotherapeutics has followed a staged approach [27]: intratumoral (IT) administration was first tested (for head and neck cancers, pancreatic, and liver metastases), followed by intracavitary (intraperitoneal), intraarterial, and finally to IV delivery. These administration routes have been extensively studied and optimized. Safety of this therapeutic platform has been confirmed, and systemic efficacy has been demonstrated in various tumor types [26,28–31].

Oncolytic virotherapy has several unique features that are suitable for the treatment of head and neck cancers [32-34], including the capability to target multiple molecular pathways in these tumors, ability to compromise tumor blood flow and angiogenesis, multiple clinically optimized administration routes, and favorable safety/toxicity profiles (Table 3). Preclinical studies with oncolytic viruses have demonstrated that this treatment platform also synergizes with chemotherapy, radiation therapy and various other therapies, and the molecular mechanism(s) have been elucidated. For oncolytic adenoviruses, expression of the viral protein E4orf6 sensitizes the infected tumor cells to radiation [35,36], whereas E1A sensitizes tumor cells to chemotherapy [99,100]. For oncolytic herpes simplex viruses (HSV), chemotherapy and radiation enhances the expression of certain cellular DNA repair genes, which in turn enhances viral replication [37–39]. So far three oncolytic adenoviruses (E1B-55K-deleted dl1520, H101, and telomerase-driven KH901) and two HSV mutants (1716, HF10) have been tested in head and neck cancer trials.

# dl1520 (Onyx-015)

dl1520 (a.k.a. Onyx-015; Onyx, CA) was the first engineered replication-selective virus to be used in humans. dl1520 is an Ad2/Ad5 hybrid with deletions in E1B-55K and E3B regions [40]. dl1520 was hypothesized originally to be replication-selective exclusively in cells with inactive p53 [40]; subsequent studies revealed that the selectivity is also based on other genetic components, such as  $p14^{\rm ARF}$  activation and late viral mRNA transport [41–43]. Onyx-015 has been tested in more than 15 clinical trials [26].

Initial trials of dl1520 in head and neck cancers explored direct IT injection (up to  $5 \times 10^9$  vp). The most frequent AEs were flu-like symptoms and injection site pain. Viral replication was demonstrated *in vivo* for up to 10 days; clearance may have been accelerated by the deletions of E3 immune avoidance genes [44–47]. Adjacent normal tissues did not support viral replication [44–47]. Transient antitumoral effects were demonstrated (objective response rate 14%); saline injection had no effect. Importantly,

Oncolytic virotherapy clinical trials in head and neck cancers.

TABLE 3

Virus	Route/ phase	Cancer type/patient number	Doses/schedule (viral particles)	AE (G3/G4 episodes; DLT; most freq. AE)	Toxic deaths	Antitumoral response†	PD	Viral endpoints: gene expression, replication, shedding	Immune response	Reference
IT			Single agent						_	
dl1520 (Onyx-015; Adenovirus)	I	HNSCC/22	$5 \times 10^5 - 5 \times 10^9$ vp/single; q4w if SD	No DLT; fever, nausea, chills, injection site pain	0	3/22 (14%)	9/22 (41%)	4/22 ISH + (Bx);—in plasma and oropharyngeal swab	21/22 Ab ↑ (60%+ at baseline)	[44]
	II	HNSCC/40	$5 \times 10^8 \text{ vp*/qd} \times 5/\text{q 3w};$ bid $\times 5/\text{q2w}$	38 G3/G4 AE; injection site pain, asthenia, fever		5/37 (14%)	19/37 (52%)	7/11 ISH, HE, EM+ before d14 (Bx); 12/29 serum PCR+ at 24 h pi (cycle 1); 6/21 (cycle 2); 2/8 (cycle 3)	All Ab+ after cycle 2 (60%+ at baseline)	[45,46]
	I/II (pre-op)	Oral SCC/15	5 × 10 <sup>8</sup> vp*/single	No attributable AE	0	0	N/A	Viral replication preferentially in tumor tissues (ISH, IHC)	N/A	[47]
H101 (Adenovirus)	1	Solid/15	$5 \times 10^7 - 1.5 \times 10^{12} \text{ vp/qd} \times 5$	No DLT; injection site pain, fever	0	N/A	N/A	PCR+ (blood, urine, oropharynx)	N/A	[54]
KH901 (Adenovirus)	I	HNSCC/23	$3 \times 10^{11} - 1 \times 10^{13} \text{ vp; 1}$ or $3 \times 10^{12} \text{ vp/biw} \times 3$	No DLT; flu-like	0	N/A	N/A	PCR+ (blood, urine, feces)	GM-CSF+	
1716 (HSV)	I	Oral SCC/20	$1 \times 10^5$ or $5 \times 10^5$ pfu; 1, 3, or 14 days before op	Tolerable	0	N/A	N/A	PCR+ (tumor)	N/A	[61]
HF10 (HSV)	N/A (case report	HNSCC skin t) metastases/2	$1 \times 10^5$ pfu $\times 3$	Tolerable	0	N/A	N/A	N/A	Increased CD4, CD8 infiltration	[60]
IT			Chemo-combination							
d/1520 (Onyx-015)	II	HNSCC/37	$5 \times 10^8 \text{ vp*/qd} \times$ 5;  cisplatin $80 \text{ mg/m}^2 \text{ day}$ 1  i.v.b, 5-FU $800-1000 \text{ mg/m}^2$ days  1-5  c.i./q3w	42% pts G3/G4 AE; asthenia, fever, chills	0	19/37 (53%) <sup>¶</sup>	N/A	4/7 ISH+ at d5–15 (Bx)	All Ab ↑ (56%+ at baseline)	[48]
H101	II	Solid/50	$5 \times 10^{11} \text{ vp/qd} \times$ $5/\text{q3w} \times$ 1–5 weeks + C/T (drugs and doses N/A)	10 G3/G4 AE; fever, injection site pain, leukopenia, nausea, vomiting	0	14/50 (28%)	12/50 (24%)	2/3 IHC+ for hexon at d22 or d44 (bx)	9/14 Ab+ on d22 (21%+ at baseline)	[55,56]
IV			Chemo-combination							
d/1520 (Onyx-015)	I	Metastatic solid tumors/10	$2 \times 10^{10} - 2 \times 10^{13}$ vp/single/qw × 3; carboplatin (AUC 2), taxol 425 mg/m <sup>2</sup> from cycle 3	No DLT; 11 G3/G4 AE; fever, chills	0	0 (1 MR)	1/10 (10%)	17/37 Q-PCR+ (blood) on d7	All Ab ↑ (20%+ at baseline); TNF, IFN-γ, IL-6, IL-10 induction	[52]

Abbreviations—Ab: antibody; AE: adverse event; Bx: biopsy; C/T: chemotherapy; DLT: dose-limiting toxicity; HNSCC: head and neck squamous cell carcinoma; IFN: interferon; IHC: immunohistochemistry; IL: interleukin; ISH: in situ hybridization; IT: intratumoral; IV: intravenous; MR: moderate response; N/A: not applicable; NPC: nasopharyneal carcinoma; ORR: overall response rate; PD: progressive disease; op: operation pfu: plaque-forming unit; qd: per day; qod: every other day; qw: per week; R/T: radiation; SCC: squamous cell carcinoma; TNF: tumor necrosis factor; vp: viral particle; \*: pfu reported; based on estimated vp: pfu ratio = 100:1.

neutralizing antibodies did not impact virus replication or antitumoral activity. Durable CRs were not reproducibly reported and efficacy at distant, non-injected sites was not seen.

The first virotherapy-chemotherapy combination clinical trial was initiated in 1998 [48] following publication of promising preclinical data [49]. Patients with head and neck cancer were given IT injections (5  $\times$  10<sup>8</sup> vp) together with IV cisplatin and fluorouracil (5-FU). Toxicities were similar to those seen with each treatment alone. The response rate was higher than in historical controls with chemotherapy alone (63% vs 30%). Patients with two injectable tumor masses had one injected and the other tumor left noninjected; patients therefore served as their own controls in this novel trial design [48]. Tumors that received combination treatment had a significantly higher response rate than tumors treated with chemotherapy alone (p < 0.05). Of note, in other trials, no overlapping toxicities were noted when dl1520 was given by HAI or IV injection in combination with chemotherapy [50-53].

#### H101

Following trial designs developed for dl1520, H101 (Sunway; Shanghai, China), with an E1B-55 kDa deletion similar to dl1520, was tested in patients with HNSCC or nasopharyngeal carcinomas (NPC) by IT injection [54]. Results were nearly identical to those with dl1520 described above [55,56]. Of note, the maximum dose given in these trials was up to  $5 \times 10^{12}$  vp, 1000fold higher than that given in the dl1520 studies, and the toxicity profile is comparable to other trials with dl1520 despite a higher dose. A randomized Phase III trial of chemotherapy vs H101chemotherapy in locally advanced head and neck cancers (with the same design as the dl1520 Phase III) was completed and demonstrated a statistically significant increase in the tumor response rate with the combination regimen [57]; results for survival have not yet been reported. H101 received marketing approval in China following these studies.

#### KH901

KH901 is an oncolytic adenovirus engineered with human telomerase promoter and armed with granulocyte macrophage-colony stimulating factor (GM-CSF) [58,59]. KH901 has been tested in a recent Phase I trial as IT administration in 23 patients with recurrent head and neck cancer. The trial was designed as a two stage study: the first stage explored the safety of single dosing of KH901 from  $3 \times 10^{11}$  to  $1 \times 10^{13}$  vp (13 patients total), whereas the second stage examined the safety, feasibility, and biological activity of multiple dosing (2/week  $\times$  2 weeks) with  $1 \times 10^{11}$  or  $3 \times 10^{12}$  vp. Treatment with KH901 was well tolerated, with the most common AE being grade I/II flu-like symptoms. DLT was not defined. Virus shedding was detected temporarily in urine and little in feces. As was seen in dl1520 trials, secondary systemic peak was detected in the majority of the patients between two and four days post-injection. Serum GM-CSF levels increased as early as 12 h post-injection (indicating viral gene expression), and were undetectable after 15 days. As expected, all patients showed increase in neutralizing antibodies to adenovirus after treatment [59].

# Oncolytic herpes simplex viruses (HSVs)

In addition to oncolytic adenoviruses, two oncolytic HSVs have been tested in head and neck cancer patients [60,61]. Oncolytic

HSV HF10 lacks the expression of U<sub>L</sub>56 [62]. HF10 has been administered in two patients with HNSCC in an anecdotal report. Both patients had metastatic skin lesions that received intratumoral administration of  $10^5$  pfu HF10  $\times$  3, and the injected tumors were excised and examined two weeks post-injection. The injected tumors showed greater infiltration of CD4-positive and CD8-positive cells than the uninjected tumors [60]. The actual toxicity profile and clinical efficacy of HF10, however, can only be realized in a properly designed and executed clinical trial with expanded patient number. In the second study, oncolytic HSV 1716 (with deletion in  $\gamma 34.5$  [63]) was administered IT (1  $\times$  10<sup>5</sup> or  $5 \times 10^5$  pfu) into 20 patients with oral SCC at days 1, 3, or 14 before surgery [61]. The primary endpoints were safety and intratumoral viral replication and associated tumor necrosis. Treatment with 1716 was well tolerated with no severe adverse events. There was, however, no evidence of intratumoral viral replication [61]. Of note, the administration dosage was significantly (1000-fold) lower than in two brain tumor trials with another oncolytic HSV (G207; γ34.5-/ribonucleotide reductase-deleted) [64,65].

## Summary of clinical studies to date

The clinical trials conducted on p53 gene transfer and oncolytic virotherapies in advanced head and neck cancers have shown that both treatment modalities are safe and well tolerated, with maximal-tolerated dose/DLT not defined in most of the agents tested. Importantly, unlike those seen in chemotherapy, radiotherapy, and EGFR-targeted therapies, the majority of the AEs were transient flu-like symptoms and injection site pain and usually recovered within 72 h. Efficacy as single agents has been limited (with a few exceptions), whereas efficacy as combination therapy with chemotherapy and/or radiotherapy is significantly enhanced. Preclinical studies have also identified potential combination strategy with other therapies.

Attempts have been made to correlate p53 mutation status and clinical efficacy in both Ad-p53 and dl1520 studies. As p53 expression in the tumors after Ad-p53 administration lasts less than two weeks, it is perhaps not surprising that there is no definitive correlation between p53 mutation and efficacy. Transient exogenous p53 expression may act primarily as a radiosensitizer. Several preclinical studies were designed to explore whether the status of p53 determines the efficacy of dl1520. These studies showed that p53 mutation is not the sole determinant of the selectivity of dl1520 [43,66-70]. Indeed, p53 function can be lost in many cancers through mechanisms other than mutation, and therefore the p53 pathway functionality may be a better determinant for viral replication and efficacy. As described earlier, the status of p14<sup>ARF</sup>, downstream of p53, has been shown to determine the selectivity of dl1520 [41]. In addition, studies have also shown that late viral RNA export, rather than p53 inactivation, determines the sensitivity of dl1520 [43]. Other adenoviral proteins also have p53 inhibitory effects (e.g. E4ORF6) [71,72]. Clinical studies also showed no definitive evidence of correlation between efficacy and p53 mutation status of the tumors.

# Challenges and future directions

Enhancing single agent efficacy

With a few exceptions, the overall response rate for cancer patients undergoing genetic or virotherapy as a single agent treatment has been less than 20% [9,27,73]. For genetic therapy this can be attributed to vector-associated and/or transgene-associated issues. Short term/insufficient transgene expression can be caused by the host immune response to vectors and/or transgenes. In addition, poor penetration of the vectors through stroma and central necrotic areas in tumors can also limit transgene expression. In addition, cancers often contain disorders of multiple signaling pathways; hence transferring a single therapeutic gene may be unlikely to succeed. For example, a Phase III trial of Ad-p53 gene therapy in ovarian cancers did not show an adequate therapeutic benefit and was closed after the first interim analysis. Moreover, it was proposed that the multiple genetic changes in cancer and epigenetic dysregulations led to aberrant silencing of genes [74], and the recently identified dominant *p53* mutants, as well as p63 and p73 splice variants, could also seriously hamper the effect of *p53* gene therapy.

For oncolytic virotherapy, virus species that possess long replication cycles (> 24-48 h) are most likely to be eliminated by the host immune system within the first one to two rounds of replication. Recent studies on adenoviruses also showed that interaction with platelets and erythrocytes may lead to sequestration and inactivation, thus hampering systemic delivery and efficacy [75-77]. Immune evasion and modulation may therefore enhance efficacy. This has been achieved by several strategies. For example, coating vectors with polyethylene glycol or polycationic polymers, both resulted in extended systemic circulation, reduced toxicity and neutralizing antibody production, and prolonged half-lives of the viruses [78]. The utilization of less immunogenic vectors (e.g. recombinant adeno-associated viruses) may also reduce immune-mediated clearance [79]. On the contrary, coadministration of viruses with immunosuppressive agents has been explored; however, more safety studies are needed before implementing this strategy into clinical studies. Maintenance of virus-encoded immune response modifier genes may be crucial in avoiding rapid immune-mediated clearance, while deletion of such genes may increase the induction of tumor-specific immunity. Viruses have evolved several immunomodulatory genes to antagonize immune system-induced apoptosis signals [80]. With proper viral gene engineering, the host anti-viral immune response can be redirected to kill tumor cells. Examples include the adenoviral E3-gp 19 kDa and the HSV ICP47 genes, which both function to downregulate MHC I antigen presentation. Adenoviral and HSV mutants with a deletion in this gene have enhanced MHC I presentation, which correlates with enhanced cytotoxic T lymphocyte infiltration and enhanced antitumoral efficacy [81,82].

For viral vectors that utilize specific cellular surface virus receptors, it is possible to alter the virus tropism so as to enhance tumor infectibility [83]. Native viral fibers or capsular proteins can be engineered to recognize tumor-specific surface protein to achieve tumor-selectivity. This approach redirects the viruses to desired target cells. Before translating this technique to clinical use, the tumor-specific receptor(s) must, however, be thoroughly studied and confirmed, and safety and biodistribution be obtained from tropism-modified viruses to exclude the possibility of infecting normal tissues [27]. Moreover, one must take into account the fact that tumor cells are heterogenous and that the intensity of these 'tumor-specific' receptors, and hence their ability to be infected, might differ from cell to cell.

For oncolytic virotherapy, increased efficacy can potentially be achieved through the use of more potent virus species (e.g. vaccinia [30]), more potent strains (e.g. clinical isolates [84]), more potent viral gene modifications (e.g. adenovirus death protein overexpression or E1B-19 kDa deletion in adenovirus [85,86]), therapeutic transgene arming, and combination regimens with approved therapies. Potency is a function of virus spreading rate in tumors, cytopathic effects and virus receptor expression patterns on tumors.

Targeting more than one crucial regulator in oncogenic pathways may also enhance efficacy. This can be achieved by either incorporating multiple therapeutic transgene(s) targeting these pathways, or combination therapy with targeted molecular therapeutics. Other new therapies currently being developed for head and neck cancers include small molecule-based therapy (e.g. erlotinib) and monoclonal antibodies (e.g. cetuximab). Both of these classes are subject to resistance as a result of mutation [6]. Oncolytic virus targeting the EGFR pathway, for example (e.g. vaccinia virus vv-DD [87]), replicates in cancer cells with 'overall' abnormality in EGFR pathway, and its efficacy is not subject to cellular receptor epitope or tyrosine kinase mutation.

Several recent studies explore combination therapy of oncolytic virus with tumor stromal matrix-modifying approaches, both as co-administered agent (e.g. collagenase [88]), or incorporated as therapeutic transgene (e.g. relaxin [89]). For advanced head and neck cancers that have been heavily pretreated and are therefore fibrotic, these approaches are promising. Preclinical studies, however, have also indicated that modifying tumor stroma could lead to extensive bleeding and even tumor metastasis. Therefore, more studies are needed to confirm the safety of these approaches.

In addition, the likelihood of successful approval and clinical benefit for patients will be improved greatly if predictive factors for efficacy can be identified. Virotherapy efficacy might be predicted by histologic tumor type, cancer cell features (e.g. viral receptor levels, genetics) and/or patient immune status, for example. The role of gene or protein expression profiling in this setting should be explored.

#### Improving the understanding of biological mechanisms

To date, the selection of molecular and genetic targets for genetic therapy and virotherapy has been largely based on our knowledge of tumor biology, genetics and virology. However, little is known about the in vivo activities of these agents in humans. Whether the viruses and/or therapeutic transgenes behave in human as predicted in preclinical models is unclear. Restoring a functional therapeutic gene does not guarantee the restoration of the involved apoptosis induction pathway. p53 pathway abnormality, for instance, can result from loss of p53 and/or its upstream or downstream targets (p14, p21, MDM-2, and so on). It remains to be determined in vivo whether p53 restoration can activate the downstream targets as shown in vitro. In addition to the failed ovarian cancer Ad-p53 Phase III trial, the early termination of retrovirus-BRCA1 trial in ovarian cancer patients also implies that a more thorough understanding of the mechanisms of the gene products and the interaction of these genes and vectors with the host is crucial [74,90].

Several approaches have been taken to improve our understanding of biological mechanisms of these agents. First of all, the

vectors can be designed to incorporate more sophisticated reporter genes to allow in vivo monitoring [91]. The use of radionucleotide imaging (e.g. positron emission tomography (PET) and single photon emission computed tomography (SPECT) has been shown to improve the detection of regional/spatial distribution of vector/ transgene expression in vivo [91-93]. With the use of Na/I symporter system, PET imaging can reveal biodistribution as well as quantification of gene expression [91,93]. SPECT can also be used to image receptors, transporters, and other proteins expressed on cell surface. Similarly, vectors carrying bioluminescent reporter genes (e.g. luciferases) can be monitored for their biodistribution and gene expression in vivo in real time [94], although to date this approach is limited to animal models. Other examples of potential use in humans include magnetic resonance imaging for gene expression through reporters/enzymes, fluorescence imaging with green fluorescent protein introduced by the vector (for superficial tumors), as well as the somatostatin receptor gene used in combination with radio-labeled octreotide [95,96].

In addition to imaging, the pharmacokinetics of the vectors, as well as transgenes, can also be monitored by incorporation of specific 'marker' genes, such as the tumor-associated antigen carcinoembryonic antigen (CEA). An oncolytic measles virus, encoding CEA, has been tested in preclinical models [97]. As the production of CEA correlates with virus replication, the amount of virus replication/persistence of this virus can be easily followed by measuring the blood level of CEA. This strategy enables more frequent and rapid measurement of the replicating virus. In terms of replication-competent oncolytic viruses,

pharmacokinetic monitoring can also be done by obtaining the quantity of viral genomes in bloodstream following treatment. Mathematical models can be used to calculate the number of virus particles produced and shedding into blood with each replication cycle [51].

Treatment of highly accessible tumors for biopsy will definitely increase our knowledge of the in vivo activity of these genes. There is little technical difficulty in obtaining biopsies from superficial tumors, such as head and neck cancers. For tumors that posttreatment biopsy is challenging, applying genetic or virotherapy as a neoadjuvant therapy will allow us to analyze the biological endpoints from the resected tumors. Administration of these agents into premalignant lesions will also provide precious insights into tumor biology [98]. Finally, the genetic determinants of clinical efficacy should be thoroughly studied.

In summary, genetic and virotherapy hold promise for the treatment of advanced head and neck tumors. Decades of preclinical and clinical studies have led to product commercialization. Future directions include validating and enhancing efficacy both as single agents and in combination regimens, identifying predictive markers and providing biologic and mechanistic insights. Translating preclinical findings into clinical development strategies will be key to success for both product classes.

#### **Conflict of interest**

DHK is founder and CEO of Jennerex Inc., a company dedicated for oncolytic virotherapeutics development. PIH, JFC, and TCL declare no conflict of interest.

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